

# The Bond Buyer's Municipal Marketplace®

## Application & Agreement for Rating Agencies

Complete, sign and submit this Application for inclusion in the Rating Agencies Section of *The Bond Buyer's Municipal Marketplace*®, also known as "The Red Book."

**AGREEMENT & TERM:** Customer understands that its office(s) will be listed in each future edition of *The Red Book*, online at [MuniMarketplace.com](http://MuniMarketplace.com), and in *The Red Book* Database. The initial term is six (6) months beginning the next May 1 or November 1, and Customer will be billed for all Listings, Enhancements (Highlights, Logos and Company Profiles) and Subscriptions according to the fee schedule below.

**AUTOMATIC RENEWAL FOR LISTINGS & SUBSCRIPTIONS:** Listings, Enhancements and Subscriptions will run continuously and be billed on a semi-annual basis at the then-current rates until cancelled by written notice provided to *The Red Book* at [RedBook@LexisNexisRisk.com](mailto:RedBook@LexisNexisRisk.com) by February 15 for the Spring edition and July 15 for the Fall edition.

<b>The Red Book Listing Products</b> <i>All Listings &amp; Enhancements appear in the print edition &amp; online at the <a href="http://www.MuniMarketplace.com">www.MuniMarketplace.com</a>.</i>	<b>Cost per Edition</b> <i>every May &amp; November</i>	<b>Quantity</b>	<b>Net Price per Edition</b>
<b>Main Office Listing</b> – Rating Agencies Section	\$650	1	\$650
<b>Additional Office Listing</b> – Rating Agencies Section	\$250		
<b>Listing Highlight</b> <i>Firm name appears in bold type with a box around it for emphasis.</i>	\$200		
<b>Listing Logo</b> <i>Black &amp; white for print, color optional for <a href="http://www.MuniMarketplace.com">www.MuniMarketplace.com</a>.</i>	\$300		
<b>Company Profile</b> – Up to 100 Words	\$320		
<b>Company Profile</b> – 101 to 300 Words	\$395		
<b>Combo Subscription*</b> <i>Includes 1 print edition of The Red Book, which publishes every May &amp; November, plus 6 months access to the <a href="http://www.MuniMarketplace.com">www.MuniMarketplace.com</a></i>	\$360		
*Discounted subscriptions rates available. Please email <a href="mailto:RedBook@LexisNexisRisk.com">RedBook@LexisNexisRisk.com</a> for more information.			
<b>Total Cost Every 6 Months:</b>			

**BILLING/PAYMENTS:** Customer will receive an invoice within a week after each print edition releases every six (6) months. Customer agrees to pay all invoices within 30 days from the date of issuance. Applicable taxes and shipping/handling charges are additional. Rates are firm for the Agreement term. LexisNexis® Risk Solutions accepts the following methods of payment: bank transfer or credit card. Credit cards accepted include MasterCard, Visa and American Express. Further details on payment methods appear on the invoice.

**LOGO AND COMPANY PROFILE DEADLINE:** *The deadline for all Logos and Company Profile copy is February 25 for Spring and August 12 for Fall.* Customer acknowledges that a late Logo or Company Profile copy might not appear in the upcoming edition. Logos submitted incorrectly will be returned to Customer, and failure to supply Logo art and/or Company Profile copy does not relieve Customer of its obligations under this Agreement.

**TERMINATION:** LexisNexis® Risk Solutions may terminate this agreement upon written notice at any time, in which event Customer will not be obligated for payment of future editions.

**GENERAL:** This Agreement covers the complete Agreement between Customer and LexisNexis® Risk Solutions relating to the furnishing of the described services. Any purchase order provided by Customer shall not be binding between the parties. *The Red Book* reserves the right to republish – and Customer agrees to pay for – last insertion if *The Red Book* does not receive Customer changes and deletions before above deadline dates. Customer may not assign this Agreement without LexisNexis® Risk Solutions' prior written consent. In no event will LexisNexis® Risk Solutions be liable for any direct, indirect, consequential, punitive, or other damages, even if advised in advance of the possibility of such damages.

By signing below, Customer understands and acknowledges that: 1.) Customer has ordered these services at the prices stated above, and 2.) has agreed to all Terms & Conditions articulated here and posted at [www.Risk.LexisNexis.com/Terms/Accuity](http://www.Risk.LexisNexis.com/Terms/Accuity).

Firm Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Print Name \_\_\_\_\_ Title \_\_\_\_\_ Phone \_\_\_\_\_

Please sign and submit this application (along with all supporting documentation):

E-mail: [RedBook@LexisNexisRisk.com](mailto:RedBook@LexisNexisRisk.com)

or

Fax: 1-844-299-6152

or

Mail: Tom Toussaint, Publisher, LexisNexis® Risk Solutions, 1007 Church Street, Evanston, Illinois 60201

Submit your application **no later than February 25<sup>th</sup> for Spring edition and August 12<sup>th</sup> for Fall edition.**

You will be invoiced in May for Spring and in November for Fall.

Please Indicate:

Main Office

or

Branch Office

Total number of offices to list: \_\_\_\_\_

**Submit Pages 2 & 3 for each separate office.**

Full Name of Firm \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_

Web Address \_\_\_\_\_ Company E-mail \_\_\_\_\_

**Memberships:**

SIFMA

NAMA

MSRB

FINRA

ISDA

SIPC

**Practice Areas**

To provide *The Red Book* audience with more detailed information, please mark any of the following municipal bond issue types that your firm has participated in during the past 24 months.

Education

Electric Power

Environmental Facilities

General Purpose

Health Care

Housing

Industrial Development

Public Facilities

Transportation

Utilities

**Rating Agencies**

FIRM NAME \_\_\_\_\_ LISTING LOCATION (CITY, STATE) \_\_\_\_\_

Please list personnel of the firm for publication (or attach a list):

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

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Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Name \_\_\_\_\_ Title \_\_\_\_\_ Department \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Add your firm's 100-word Company Profile below or attach a separate page. (Additional fees may apply.)

\_\_\_\_\_  
\_\_\_\_\_

Check here if you'd like your listing to stand out on the page by adding a Highlight (box around your firm's name) in the directory and having your name be listed in bold online at [www.munimarketplace.com](http://www.munimarketplace.com). (Additional fees may apply.)

Check here if you'd like your listing to really stand out on the page by adding your firm's Logo in the directory and online at [www.munimarketplace.com](http://www.munimarketplace.com). (Additional fees may apply.)

Please list individual responsible for updates: (Update & billing information does not print in listing.)

Name \_\_\_\_\_ Title \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ St \_\_\_\_\_ Zip \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_

Please list individual responsible for future billing if different than individual above:

Name \_\_\_\_\_ Title \_\_\_\_\_  
Address \_\_\_\_\_ City \_\_\_\_\_ St \_\_\_\_\_ Zip \_\_\_\_\_  
Phone \_\_\_\_\_ Fax \_\_\_\_\_ E-mail \_\_\_\_\_